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Trafficking in Women and Children: The U.S. and International Response - Updated July 10, 2003

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Congressional Research Service

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Trafficking in Women and Children: The U.S. and International Response

Updated July 10, 2003

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Summary

Trafficking in people for prostitution and forced labor is one of the fastest growing areas of international criminal activity and one that is of increasing concern to the United States and the international community. The overwhelming majority of those trafficked are women and children. According to the Department of State, between 800,000 and 900,000 people are believed to be trafficked across borders each year worldwide; some 18,000 to 20,000 to the United States. Human trafficking is now considered a leading source of profits for organized crime, like drugs and weapons, generating billions of dollars annually.

Trafficking in persons affects virtually every country in the world. The largest number of victims are believed to come from Asia. The former Soviet Union and Eastern Europe are now believed to be the largest new source of trafficking for prostitution and the sex industry in Europe and North America. Thousands more are trafficked from Latin America, the Caribbean, and Africa. Most of the victims are sent to Asia, the Middle East, Western Europe and North America.

In 1998, the Clinton Administration and the 106th Congress launched a government-wide anti-trafficking strategy of (1) prevention, (2) protection and support for victims, and (3) prosecution of traffickers. It led to enactment of the **Victims of Trafficking and Violence Protection Act of 2000** (P.L. 106-386).

The Bush administration and Congress have continued to give priority to the trafficking problem. The State Department issued its third Congressionally mandated report on worldwide trafficking in June 2003. It categorized countries according to the efforts they were making to combat trafficking. Those countries that do not cooperate in the fight against trafficking could face U.S. sanctions, starting in 2003. The **Consolidated Appropriations Resolution for FY2003** (H.J.Res.2), signed into law by the President on February 20, 2003 (P.L. 108-7), included at least \$32 million for efforts to combat human trafficking and to help victims of trafficking.

The United States and other countries have also initiated bilateral and multilateral programs and initiatives to combat trafficking. The United States is working with the European Union, the Group of Eight, the United Nations, the Organization for Security and Cooperation in Europe (OSCE) and a number of individual countries to combat trafficking in women and children. In 2000, the U.N. General Assembly adopted the Convention on Transnational Crime, including a Protocol on Trafficking. A Protocol to the Convention on the Rights of the Child on Sale of Children, Child Prostitution and Child Pornography was signed by the United States July 2000.

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Trafficking in Women and Children: The U.S. and International Response

Definition

Severe forms of trafficking in persons has been defined in U.S. law as “sex trafficking in which a commercial sex act is induced by force, fraud, or coercion, or in which the person induced to perform such act has not attained 18 years of age; or ... the recruitment, harboring, transportation, provision, or obtaining of a person for labor or services, through the use of force, fraud, or coercion for the purpose of subjection to involuntary servitude, peonage, debt bondage, or slavery.”¹ Others have put forward slightly different definitions.² In the case of minors, there is general agreement in the United States and much of the international community that the trafficking term applies whether a child was taken forcibly or voluntarily. Trafficking is distinguished from alien smuggling which involves the provision of a service, albeit illegal, to people who knowingly buy the service in order to get into a foreign country.

Scope of the Problem Worldwide

Trafficking in people, especially women and children, for prostitution and forced labor is one of the fastest growing areas of international criminal activity and one that is of increasing concern to the U.S. Administration, Congress, and the international community. Although men are also victimized, the overwhelming majority of those trafficked are women and children. According to the latest U.S. Government estimates, some 800,000 to 900,000 people are trafficked across borders each year worldwide for forced labor, domestic servitude, or sexual exploitation.³ Trafficking is considered one of the largest sources of profits for organized crime, generating seven to ten billion dollars annually according to United Nations estimates.⁴

¹ *Victims of Trafficking and Violence Protection Act of 2000* (P.L.106-386).

² Some religious groups, as well as feminist organizations, have campaigned to broaden the definition of trafficking to include all forms of prostitution, whether forced or voluntary, on grounds that prostitution is never truly voluntary and that traffickers will simply force their victims to claim to be acting voluntarily. However, others have rejected this broadened definition, arguing that it would impede the capacity of the international community to achieve consensus and act decisively against major traffickers.

³ U.S. Department of State. *Trafficking in Persons Report, 2003*. [<http://www.state.gov/g/tip/rls/tiprpt/2003>]. These figures do not include the large number of victims who are trafficked within borders.

⁴ Cited in the State Department *Trafficking in Persons Report, 2003*.

Trafficking is a problem that affects virtually every country in the world. Generally, the flow of trafficking is from less developed countries to industrialized nations, including the United States, or toward neighboring countries with marginally higher standards of living. Since trafficking is an underground criminal enterprise, there are no precise statistics on the extent of the problem and all estimates are unreliable. The largest number of victims trafficked internationally are still believed to come from South and Southeast Asia. The former Soviet Union may be the largest new source of trafficking for prostitution and the sex industry. Many people are also trafficked to Eastern Europe. Other main source regions include Latin America and the Caribbean, and Africa. Most of the victims are sent to Asia, the Middle East, Western Europe and North America. They usually end up in large cities, vacation and tourist areas, or near military bases, where the demand is highest.

Causes of Rise in Trafficking

The reasons for the increase in trafficking are many. In general, the criminal business feeds on poverty, despair, war, crisis, and ignorance. The globalization of the world economy has increased the movement of people across borders, legally and illegally, especially from poorer to wealthier countries. International organized crime has taken advantage of the freer flow of people, money, goods and services to extend its own international reach.

Other contributing factors include:

- the continuing subordination of women in many societies, as reflected in economic, educational, and work opportunity disparities between men and women. Many societies still favor sons and view girls as an economic burden. Desperate families in some of the most impoverished countries sell their daughters to brothels or traffickers for the immediate payoff and to avoid having to pay the dowery to marry off daughters;
- the hardship and economic dislocations caused by the transition following the collapse of Communism in the former Soviet Union and Eastern Europe, as well as the wars in the former Yugoslavia. The lack of opportunity and the eagerness for a better life abroad have made many women and girls especially vulnerable to entrapment by traffickers. With the weakening of law enforcement in post-Communist societies, criminal organizations have grown and established themselves in the lucrative business of international trafficking;
- the high demand, worldwide, for trafficked women and children as sex workers, cheap sweatshop labor, and domestic workers. Traffickers are encouraged by large tax-free profits and continuing income from the same victims, until recently at very low risk;
- The inadequacy of laws and law enforcement in most origin, transit, and destination countries hampers efforts to fight trafficking. Even in the United States, more effective legal remedies are only now

being implemented. Prostitution is legal or tolerated in many countries, and widespread in most. When authorities do crack down, it is usually against prostitutes, themselves. Penalties for trafficking humans for sexual exploitation are often relatively minor compared with those for other criminal activities like drug and gun trafficking.

- The priority placed on stemming illegal immigration in many countries, including the United States, has resulted in treatment of trafficking cases as a problem of illegal immigration, thus treating victims as criminals. When police raid brothels, women are often detained and punished, subjected to human rights abuses in jail, and swiftly deported. Few steps have been taken to provide support, health care, and access to justice. Few victims dare testify against the traffickers or those who hold them, fearing retribution for themselves and their families since most governments do not offer stays of deportation or adequate protection for witnesses.
- The disinterest and in some cases even complicity of governments is another big problem. Many law-enforcement agencies and governments ignore the plight of trafficking victims and downplay the scope of the trafficking problem. In some cases, police and other governmental authorities accept bribes and collude with traffickers by selling fake documentation, etc.⁵ In addition, local police often fear reprisals from criminal gangs so they find it easier to deny knowledge of trafficking. Many countries have no specific laws aimed at trafficking in humans.

Traffickers and Their Victims

Chinese, Asian, Mexican, Central American, Russian and other former Soviet Union gangs are among the major traffickers of people. Chinese and Vietnamese Triads, the Japanese Yakuza, South American drug cartels, the Italian mafia, and Russian gangs increasingly interact with local networks to provide transportation, safe houses, local contacts, and documentation.

Traffickers acquire their victims in a number of ways. Sometimes women are kidnaped outright in one country and taken forcibly to another. In other cases, victims are lured with phony job offers. Traffickers entice victims to migrate voluntarily with false promises of well-paying jobs in foreign countries as au pairs, models, dancers, domestic workers, etc. Traffickers advertise these “jobs” as well as marriage opportunities abroad in local newspapers. Russian crime gangs reportedly use marriage agency databases and match-making parties to find victims. In some cases, traffickers approach women or their families directly with offers of lucrative jobs elsewhere. After providing transportation and false documents to get

⁵ For instance, according to Global Survival Network, an NGO group, Russian traffickers can obtain false documentation in order to enable a minor to travel to destination countries to work as a prostitute from corrupt officials in the Ministry of Foreign Affairs for approximately \$800.

victims to their destination, they subsequently charge exorbitant fees for those services, often creating life-time debt bondage.

While there is no single victim stereotype, a majority of trafficked women are under the age of 25, with many in their mid to late teens. The fear of infection with HIV and AIDS among customers has driven traffickers to recruit younger women and girls, some as young as seven, erroneously perceived by customers to be too young to have been infected.

Trafficking victims are often subjected to cruel mental and physical abuse in order to keep them in servitude, including beating, rape, starvation, forced drug use, confinement, and seclusion. Once victims are brought into destination countries, their passports are often confiscated. Victims are forced to have sex, often unprotected, with large numbers of partners, and to work unsustainably long hours. Many victims suffer mental break-downs and are exposed to sexually-transmitted diseases, including HIV and AIDS. They are often denied medical care and those who become ill are sometimes even killed.

Regional Trends⁶

Asia and the Pacific. The largest number of victims are trafficked from Southeast Asia annually according to the U.S. Department of State. The growth of sex tourism in this region is one of the main contributing factors. Large-scale child prostitution occurs in many countries. Thailand, Cambodia, and the Philippines are popular travel destinations for “sex tourists,” including pedophiles, from Europe, North America, Japan, and Australia.

Japan is the considered the largest market for Asian women trafficked for sex. Victims are believed to come mainly from the Philippines and Thailand.⁷ Victims are also trafficked in increasing numbers to Taiwan, Malaysia, Hong-Kong, and Thailand. Cross-border trafficking is prevalent in the Mekong region of Thailand, Burma, Laos, Cambodia, Vietnam, and the Southern Yunan province of China. Vietnamese women are trafficked to China and Cambodia. According to various NGO sources, hundreds of thousands of foreign women and children have been sold into the Thai sex industry since 1990, with most coming from Burma, Southern China, Laos, and Vietnam. East Asia, especially Japan, is also a destination for trafficked women from Russia and Eastern Europe.

⁶ Information in this section is summarized from a wide range of official and NGO sources. Specific estimates of numbers trafficked to and from individual countries and regions are not included in this update because their accuracy is so uncertain and the numbers presented by different sources are dated and cannot be reconciled with new global estimates by the State Department.

⁷ The Coalition against Trafficking in Women (CATW), Trafficking in Women and Prostitution in Asia. The CATW is an international NGO. [<http://www.catwinternational.org/>].

Victims from Southeast Asia, especially China, Burma, the Philippines, Thailand, Cambodia, and Vietnam, are also sent to Western Europe, the United States, Australia, and the Middle East.

South Asia may be the second highest source region for trafficking victims according to the State Department. The low status of women in some societies as well as the growth of sex tourism contribute significantly to trafficking in this region. Sri Lanka and India are among the favored destinations of sex tourists from other parts of the world. Bangladesh and Nepal, the poorest countries in the region, are the main source countries. India and Pakistan are key destination countries. Thousands of Nepalese girls and young women are lured or abducted to India for sexual exploitation each year. The total number of Nepalese working as prostitutes in India are believed to be in the tens of thousands. Thousands of women and children from Bangladesh are trafficked to Pakistan each year. Also, according to Amnesty International, Afghan women have been sold into prostitution in Pakistan.

Thousands of Nepalese women and children are believed to be trafficked for prostitution to the Asia Pacific region, especially Hong Kong. Bangladeshi women and children have also been trafficked to the Middle East in large numbers, over the last 20 years. India is a source, transit, and destination country, receiving women and children from Bangladesh, Nepal, Bhutan, Sri Lanka, and Pakistan and sending victims to Europe and the Middle East.

Australia has been a prime source of sex tourists in Asia. The Philippines, Thailand, South Korea, Sri Lanka, and Hong Kong are some of the primary Asian destinations for organized sex tours. Indonesia and Taiwan are secondary destinations. Australians also travel to Europe and Latin America. To counteract this problem, the Australian government has developed extraterritorial legislation and public awareness campaigns aimed at travelers.⁸

International criminal organizations traffic hundreds of Thai women yearly to Australia for prostitution. Australia is developing tougher laws including long jail terms to stop the trafficking of Asian women to Australia.⁹

Europe. The former Soviet Union and Central and Eastern Europe have replaced Asia as the main source of women trafficked to Western Europe. Victims come from Russia, Ukraine, and other East European countries. With the economic and political turmoil after the collapse of the Soviet Union, trafficking from the region has escalated from a minor problem before 1991 into a major crisis. As criminal organizations have grown, especially in Russia, they have gravitated to this lucrative business. Russian organizations now play a dominant role not just in the trafficking of Russian women but also women from throughout Eastern Europe. Russian organized crime groups and others including Albanian, Estonian, Chechen, Serb, and Italian gangs are involved in human trafficking in Europe. Furthermore, Russian organized crime is starting to take over the sex industry in a number of West

⁸ World Congress Against Commercial Sexual Exploitation of Children, Regional Profiles.

⁹ Maria Moscaritolo, "Australia takes aim at Asian sex slave trade," Reuters, May 26, 1998.

European countries. Russian criminal groups reportedly are also gaining control of prostitution in Israel, and parts of the United States.¹⁰

The largest number of victims trafficked annually from the former Soviet Union and Eastern Europe come from Russia and Ukraine. In addition, several Central and East European countries are reported to be source, receiving, and transit countries. The conflicts in Bosnia and Kosovo provided new opportunities for traffickers in the former Yugoslavia and the Balkans. Traffickers targeted refugee women who fled Kosovo. According to the Women's Commission for Refugee Women and Children, Albanian traffickers have smuggled thousands of Kosovo women into Italy by boat for the sex trade.

Most Russian and East European victims are believed to be sent to West European countries (especially Germany, Italy, France, Switzerland, the Netherlands, Greece, Austria, and England). A substantial number are also sent to the Middle East (especially Israel and Saudi Arabia) and the Far East (especially Japan and Thailand). Many wind up in the United States or Canada. The remainder are sent to Central European countries, especially Poland, Hungary, the Czech Republic.¹¹

Western European countries are also destination points for victims from other parts of the world, including Africa (Ghana, Nigeria, Morocco), Latin America (Brazil, Colombia, the Dominican Republic), and Southeast Asia (the Philippines, Thailand).

Middle East. The sexual exploitation of women and children in the Middle East usually involves the importation of women from other regions. The exploitation of Middle Eastern women tends to have less of a commercial dimension.¹²

Women and children, mostly from Asia (Thailand, the Philippines, Indonesia), are trafficked as prostitutes or brides to the Middle East (Saudi Arabia, The United Arab Emirates). Women from the former Soviet Republics are sent to Israel. According to the Israel Women's Network, every year several hundred women from Russia and the former Soviet Union are brought to Israel by well-organized criminal groups.¹³

Latin America and the Caribbean. Tens of thousands of Latin American and Caribbean women and children are believed to be trafficked for sexual exploitation each year. Impoverished children are particularly vulnerable to trafficking for prostitution. Victims from Latin America and the Caribbean are trafficked to Western Europe and the United States. The Central American countries and Mexico are also transit countries for trafficking to the United States.

¹⁰ Global Survival Network. *An Expose of the Traffic in Women for Prostitution from the Newly Independent States*. Washington, D.C., 1998, p. 5-10.

¹¹ *Ibid.*

¹² World Congress Against Commercial Sexual Exploitation of Children, *Regional Profiles*.

¹³ Michael Specter, "Traffickers' New Cargo: Naive Slavic Women," *New York Times*, January 11, 1998.

The presence of sex tourists from Europe, North America, and Australia has significantly contributed to the trafficking of women and children. A growing number of sex tourists are going to Latin America, partly as a result of recent restrictions placed on sex tourism in Thailand, Sri Lanka, and other Asian countries.¹⁴ Favored sex tourism destinations are Brazil, Argentina, the Dominican Republic, Mexico, Honduras, Costa Rica, Trinidad and Tobago. Brazil has one of the worst child prostitution problems in the world.¹⁵

Africa. In Africa, tens of thousands of victims are believed to be trafficked annually according to the U.S. Department of State, although the extent of trafficking is not well documented. Like elsewhere, poverty and the low status of women are major contributing factors. In addition, wars and civil strife engulfing countries like Sudan and Rwanda, as well as the indifference of some governments make women and children vulnerable to trafficking.¹⁶

Trafficking in children for labor is a serious problem in Togo and Benin as well as Botswana, Zaire, Somalia, Ethiopia, Zambia, Nigeria, Algeria. Victims are trafficked to Nigeria, Gabon, Ghana, and South Africa. Africans, especially women from Nigeria, are trafficked to Western Europe and the Middle East.

Trafficking to the United States

Some 18,000 to 20,000 people are trafficked to the United States each year, according to the most recent Department of State estimates.¹⁷ Most come from Southeast Asia and the former Soviet Union. About half of those are forced into sweatshop labor and domestic servitude. The rest are forced into prostitution and the sex industry, or in the case of young children, kidnaped and sold for adoption. While many victims come willingly, they are not aware of the terms and conditions they will face. Women trafficked to the United States most often wind up in the larger cities in New York, Florida, North Carolina, California, and Hawaii.¹⁸ But the problem is also migrating to smaller cities and suburbs. Russian crime groups are said to be actively involved in trafficking and the sex industry in the United States.

The United States is also the major destination country for young children kidnaped and trafficked for adoption by childless couples unwilling to wait for a child through legitimate adoption procedures and agencies. The largest source

¹⁴ Casa Alianza/Covenant House Latin America, "Casa Alianza Warns That Central America Is New Sex Tourism Destination," November 17, 1997.

¹⁵ Social Security Network, "Brazil spends \$1.7mil on helping child prostitutes." Reuters, June 12, 1998.

¹⁶ John Eibner of Christian Solidarity International, Karin Davies, "Slave Trade Thrives in Sudan," Associated Press, February 7, 1998.

¹⁷ Testimony of Frank E. Loy, Undersecretary of State for Global Affairs, before the Subcommittee on Near Eastern and South Asian Affairs, Senate Foreign Relations Committee, February 22, 2000.

¹⁸ U.S. Department of State. Bureau of Consular Affairs, Fraud Digest, November/December, 1997.

country is Mexico. Mexican children are also kidnaped and trafficked to the United States for child prostitution.

American men, along with Europeans and Australians, are reportedly the most numerous sex tourists in Central America (Costa Rica, Honduras), South East Asia (The Philippines, Thailand), and South Asia (India, Sri Lanka). Many companies operating in a number of large cities reportedly specialize in sex tours.

Until recently, U.S. laws were widely believed to be inadequate to deal with trafficking in women and children. Nor were there thought to be adequate laws and services to protect and assist victims. With the implementation of anti-trafficking legislation and programs, the hope is that the situation will improve significantly.

U.S. Policy

The Clinton Administration. The trafficking issue has been gaining attention in the United States and worldwide since the late 1990s. The problem was addressed as a priority by the Clinton Administration and the 106th Congress. As part of former President Clinton's announced International Crime Control Strategy, an interagency working group was set up to address international crime implications of trafficking.

On March 11, 1998, President Clinton issued a directive establishing a U.S. government-wide anti-trafficking strategy of (1) prevention, (2) protection and support for victims, and (3) prosecution of traffickers. The strategy, as announced, had strong domestic and international policy components:

- In the area of prevention, the Administration outlined the need for programs to increase economic opportunities for potential victims and dissemination of information in other countries to increase public awareness of trafficking dangers and funding for more research on trafficking.
- In terms of victim protection and assistance, the Administration argued for legislation to provide shelter and the support services to victims who are in the country unlawfully and therefore presently ineligible for assistance. It pressed for creation of a humanitarian, non-immigrant visa classification to allow victims to receive temporary resident status so that they could receive assistance and help to prosecute traffickers. Also, support was sought for developing countries to protect and reintegrate trafficking victims once they were returned.
- As far as prosecution and enforcement, the Administration pressed for laws to more effectively go after traffickers and increase the penalties they can face. In addition, restitution for trafficked victims was sought in part by creating the possibility of bringing private civil lawsuits against traffickers. The Department of Justice called for laws that would expand the definition of involuntary servitude, criminalize a broader range of actions constituting involuntary servitude, and increase the penalties for placing people in

involuntary servitude. Justice Department spokesmen also urged that prosecutors be give the capability to go after those who profit from trafficking, not just those directly involved in trafficking.¹⁹ They also called for amending immigration statutes to punish traffickers who entrap victims by taking their passports and identification from them.

On the domestic side, a Workers' Exploitation Task Force, chaired by the Department of Justice's Civil Rights Division and the Solicitor's Office in the Department of Labor, was charged with investigating and prosecuting cases of exploitation and trafficking. In addition, the Department of Justice reviewed existing U.S. criminal laws and their enforcement to see if they adequately dealt with the crime of trafficking.

The Department of State funded the creation of a database on U.S. and international legislation on trafficking. An Interagency Council on Women formed by the Clinton Administration established a senior governmental working group on trafficking. The Council sponsored a meeting of governmental and non-government representatives from source countries, transit countries, and international organizations to call attention to the trafficking issue and to develop strategies for combating this problem. The Clinton Administration worked with Congress on what it considered urgently needed legislation to strengthen the tools available to fight trafficking at home and abroad, building on its framework of "prevention, protection, and prosecution" to strengthen tools available for the fight and to help advance the U.S. policy on trafficking in other countries. The Administration also urged the enactment of legislation to encourage and support strong action by foreign governments and help the work of non-governmental organizations (NGOs) in this area.

The Bush Administration. The Bush Administration, as well as the 107th and 108th Congress, have continued the anti-trafficking effort with strong bipartisan support. Attorney General John Ashcroft announced in March 2001 that the fight against trafficking would be a top priority for the Administration and that U.S. law enforcement agencies, including the FBI, the Immigration and Naturalization Service, and the Justice Department's Civil Rights Division would cooperate closely to upgrade their efforts to combat trafficking. The Justice Department also announced new guidelines for federal prosecutors to pursue trafficking cases.²⁰ The State Department issued its first Congressionally mandated report on worldwide trafficking in July 2001.²¹

On January 24, 2002, U.S. Attorney General announced the implementation of a special "T" visa, as called for in P.L.106-386, for victims of trafficking in the

¹⁹ Testimony of William R. Yeomans, Chief of Staff of the Civil Rights Division, Department of Justice, before the Subcommittee on Near Eastern and South Asian Affairs, Senate Foreign Relations Committee, April 4, 2000.

²⁰ Attorney General John Ashcroft's news conference on March 27, 2001. See [<http://www.usdoj.gov/ag/speeches/2001/032701workerexploitation.htm>].

United States who cooperate with law enforcement officials. Under the statute, victims who cooperate with law enforcement against their traffickers and would be likely to suffer severe harm if returned to their home countries may be granted permission to stay in the United States. After three years in T status, the victims are eligible to apply for permanent residency and for non-immigrant status for their spouses and children.²²

On February 13, 2002, President Bush signed an Executive Order establishing an Interagency Task Force to Monitor and Combat Trafficking in Persons. The Task Force, mandated by the Trafficking Victims Protection Act of 2000 (P.L. 106-386), includes the Secretary of State, the Attorney General, the Secretary of Labor, the Secretary of Health and Human Services, the Director of the Central Intelligence Agency, the Administrator of the Agency for International Development, the Director of the Office of Management and Budget, and Office of the National Security Advisor. The Task Force is charged with strengthening coordination among key agencies. It is to identify what more needs to be done to protect potential victims, to punish traffickers, and to prevent future trafficking. According to Secretary of State Powell, the United States would work closely with other governments, non-governmental organizations and concerned people throughout the world to put an end to trafficking. The State Department Office to Monitor and Combat Trafficking in Person was tasked with assisting the Interagency Task Force in implementing P.L. 106-386 and Task Force initiatives.

In addition to announcing the establishment of the Interagency Task Force, the State Department issued a fact sheet on February 14, 2002, detailing planned U.S. activities to stop trafficking in persons.²³ The Departments of State and Justice were to establish a Migrant Smuggling and Trafficking in Persons Center to gather and disseminate information from intelligence and law enforcement. USAID was charged with developing partnerships between source and destination countries to combat trafficking. The Department of Justice was to institute training programs for federal prosecutors, Immigration and Naturalization Service personnel, and FBI agents in 2002. The Department of Justice announced that it would seek sponsors in Congress for legislation to punish Americans engaging in “sex tourism” abroad with minors. The Department of Labor was to establish six training and support centers for women victims or at risk of trafficking in major cities of Central and Eastern Europe and the Newly Independent States. The Department of Health and Human Services was to launch a public awareness campaign to encourage trafficking victims to come forward.

In February 2003, The State Department co-sponsored an in international conference on Sex Trafficking in Washington, D.C. with a coalition of NGOs. Speaking at the conference, Attorney General John Ashcroft indicated that since passage of U.S. anti-trafficking legislation in 2002, the U.S. Justice Department had

²² U.S. Department of State. International Information Programs. Washington File, January 24, 2002. (Website <http://usinfo.state.gov>)

²³ U.S. Department of State. International Information Programs. Washington File, February 14, 2002. (Website <http://usinfo.state.gov>)

doubled the number of prosecutions and convictions for trafficking.²⁴ The Department of Justice also announced a \$9.5 million nationwide grant program to assist victims of human trafficking in the United States.

The Trafficking in Persons Report, 2003. On June 11, 2003, the State Department issued its third annual report on human trafficking, *Trafficking in Persons Report (TIP), June 2003*.²⁵ This report is mandated by Congress under P.L.106-386 (*the Trafficking Victims Protection Act of 2000*). The report expands on and seeks to address what were widely seen as weaknesses in the previous two annual reports.

The report rates countries according to whether they meet “minimum standards” with regard to their anti-trafficking commitment and policies. Governments meeting “minimum standards” are defined in the Trafficking and Violence Protection Act of 2000 (P.L.106-386) as those that: (1) prohibit and punish acts of trafficking; (2) prescribe punishment commensurate with that for grave crimes, such as forcible sexual assault, for the knowing commission of trafficking in some of its most reprehensible forms (trafficking for sexual purposes, trafficking involving rape or kidnaping, or trafficking that causes a death); (3) prescribe punishment that is sufficiently stringent to deter, and that adequately reflects the offense’s heinous nature; and (4) make serious and sustained efforts to eliminate trafficking.²⁶

For the first time, the report’s findings carry possible negative consequences for countries that are deemed not to be meeting minimum standards for fighting trafficking and not to be making adequate efforts to meet them. P.L. 106-386 makes countries listed in Tier Three (beginning with the 2003 State Department report)

²⁴ U.S. Department of State. International Information Programs. *Washington File*, February 26, 2003.

²⁵ www.state.gov/g/tip/rls/tiprpt/2003/

²⁶ The Act also established criteria that should be considered as evidence of serious and sustained efforts to eliminate trafficking: These are whether a government (1) vigorously investigates and prosecutes acts of trafficking within its territory; (2) protects victims of trafficking, encourages victims’ assistance in investigation and prosecution, provides victims with legal alternatives to their removal to countries where they would face retribution or hardship, and ensures that victims are not inappropriately penalized solely for unlawful acts as a direct result of being trafficked; (3) has adopted measures, such as public education, to prevent trafficking; (4) cooperates with other governments in investigating and prosecuting trafficking; (5) extradites persons charged with trafficking; (6) monitors immigration and emigration patterns for evidence of trafficking, and responds appropriately; and (7) vigorously investigates and prosecutes public officials who participate in trafficking, and takes all appropriate measures against such officials who condone trafficking.

The Act also spells out three factors that the Department is to consider in determining whether a country is making significant efforts to bring itself into compliance with these minimum standards. These considerations are: (1) the extent of trafficking in the country; (2) the extent of governmental noncompliance with the minimum standards, particularly the extent to which government officials have been complicit in trafficking; and (3) what measures are reasonable to bring the government into compliance with the minimum standards in light of the government’s resources and capabilities.

subject to sanctions, including termination of non-humanitarian, non-trade-related assistance and loss of U.S. support for loans from international financial institutions, specifically the International Monetary Fund and multilateral development banks such as the World Bank. Sanctions may be imposed if such countries have not improved their performance by October 1, 2003, the date by which the President is required to make a determination.

The TIP is more comprehensive than in previous years, rating 116 countries (compared to 89 countries in the 2002 report). It provides much more detail than past reports on the criteria by which countries are selected for inclusion in the report and for ranking in the three categories or tiers. For the first time, the report includes a separate category of special case countries that are not ranked due to particular circumstances, such as Afghanistan and Iraq because governments were recently replaced there and Iran because of the absence of information deemed to be reliable.²⁷

The report lowers the range of estimates of the number of persons trafficked across borders each year around the world (between 800,000 and 900,000) and to the United States (18,000-20,000) but does not make clear whether these new estimates reflect the success of efforts to fight trafficking, better tracking, or simply changes in methodology. The report provides an enumeration of “best practices” or successful actions that have been taken by countries, often at low or no cost, to combat human trafficking. To convey the human cost of trafficking, the report places the spotlight on a number of individual cases.

In the report, countries are ranked in three groups or tiers. Countries not included are either not seen as having a significant trafficking problem as source, transit, or destination countries (meaning more than 100 cases per year) or there is insufficient information about their situation.

Tier 1 is made up of countries deemed by the State Department to have a serious trafficking problem but fully complying with the Act’s minimum standards for the elimination of trafficking. Twenty-six countries are included.²⁸

Tier 2 countries are those whose governments the State Department views as not fully complying with those standards but making “significant efforts to bring themselves into compliance.” This is the largest group with seventy-five countries

²⁷ Other countries listed as special cases include Egypt, Algeria, Tunisia, Somalia, and Mauritania.

²⁸ Included in **Tier One** are Austria, Belgium, Benin, Colombia, Czech Republic, Denmark, France, Germany, Ghana, Hong Kong, Italy, Lithuania, Macedonia, Mauritius, The Netherlands, Norway, Poland, Portugal, South Korea, Spain, Switzerland, Taiwan, the United Arab Emirates, and the United Kingdom.

listed.²⁹ Critics charge that this group is too all encompassing, lumping together countries with very different track records.

Tier 3 are those countries whose governments the State Department deems as not fully complying with those standards and not making significant efforts to do so. This group includes fifteen countries.³⁰ These countries are subject to U.S. sanctions after October 1, 2003, if they have not improved their performance.

At a press conference following release of the report, the Director of the State Department Office to Monitor and Combat Trafficking in Persons, John Miller, stressed that there were still problems even in Tier One countries and that placement on Tier Three did not mean that there had been no effort by a government to fight trafficking. He noted that many countries had improved their performance in advance of the issuance of this report, proving the utility of the U.S. “carrot and stick” approach to other governments. He expressed the hope that Tier Three countries would improve their record against trafficking before the specified October 1, 2003 date after which sanctions might be imposed. He responded to criticism that the United States was rating other countries but not assessing itself by noting that the Department of Justice was in the process of completing an assessment of U.S. performance that would be released later in the summer.³¹

The rankings are not without controversy. Several countries, especially U.S. NATO allies Greece and Turkey, have condemned their placement on the Tier Three list. In addition to being a NATO member, until recently Greece held the rotating presidency of the European Union. Canadian commentators were reportedly surprised by Canada being dropped from Tier One to Tier Two. Others criticized the State Department for giving certain countries too high marks, in particular countries like Russia and Pakistan. Human Rights Watch, an NGO that takes a strong interest in the trafficking issue, was very critical of the report, stating that for the third year in a row, it failed to give hard figures on the number of people being trafficked and stating that it gave undue credit for minimal effort while ignoring practices such as summary deportation and incarceration.³² It also said that the report’s framework for country analysis needed improvement. At the same time, Human Rights Watch did acknowledge that the report was an improvement over previous ones.

²⁹ **Tier Two** includes Albania, Angola, Armenia, Bahrain, Bangladesh, Belarus, Bolivia, Brazil, Brunei, Bulgaria, Burkina Faso, Burundi, Cambodia, Cameroon, Canada, China, Congo, Costa Rica, Cote d’Ivoire, Croatia, El Salvador, Equatorial Guinea, Estonia, Ethiopia, Finland, Gabon, The Gambia, Guatemala, Honduras, Hungary, India, Indonesia, Israel, Jamaica, Japan, Kenya, Kuwait, Kyrgyz Republic, Laos, Latvia, Lebanon, Malawi, Malaysia, Mali, Mexico, Moldova, Mozambique, Nepal, Nicaragua, Niger, Nigeria, Pakistan, Philippines, Qatar, Romania, Russia, Rwanda, Saudi Arabia, Senegal, Serbia and Montenegro, Sierra Leone, Slovakia, Slovenia, South Africa, Sri Lanka, Tajikistan, Tanzania, Thailand, Togo, Uganda, Ukraine, Venezuela, Vietnam, Zambia, and Zimbabwe.

³⁰ **Tier Three** countries include Belize, Bosnia and Herzegovina, Burma, Cuba, Dominican Republic, Georgia, Greece, Haiti, Kazakhstan, Liberia, North Korea, Sudan, Suriname, Turkey, and Uzbekistan.

³¹ [<http://www.state.gov/g/tip/rls/rm/21459.htm>].

³² [<http://www.hrw.org/press/2003/06/traffickingreport.htm>].

The Trafficking in Persons Report acknowledges some continuing weaknesses that had been criticized earlier. The TIP lacks specific information about law enforcement efforts in other countries. Too many countries are still omitted from the report due to inadequate information. Some countries do not adequately distinguish between trafficking and other human smuggling, resulting in faulty data. It pointed out that inadequate attention has been given to the demand side of trafficking, according John Miller.³³

The International Response

The United States and other countries are also pursuing a number of bilateral and multilateral programs and initiatives to combat trafficking. The steps taken by the United States internationally include the following:

- The Departments of State and Justice are training foreign law enforcement and immigration officers to better identify and crack-down on traffickers and their victims at the border.
- U.S. embassies and consulates worldwide are working with other countries to stop international trafficking in women and children. The United States has expanded its program to heighten public awareness about trafficking in source countries, targeting the messages to potential victims.
- The United States is also working with the European Union, the Group of Eight, the United Nations, and the Organization for Security and Cooperation in Europe (OSCE). The United States supported some 240 programs in 75 countries to combat trafficking in FY2002.³⁴

The United States and the European Union agreed on a joint initiative to combat trafficking in November 1997.³⁵ U.S. and EU officials met in Luxembourg to launch a jointly funded initiative against trafficking in women from Russia and Eastern Europe. It is primarily an information campaign, warning potential victims and an education program for law enforcement, customs and consular officials to heighten their awareness of the problem. Pilot projects were launched in Poland by the EU and in Ukraine by the United States. The United States initiated bilateral cooperation programs in a number of countries, including Russia, other former Soviet Republics, Bosnia, Albania, Poland, Bulgaria, Hungary, Thailand, and the Philippines to fight trafficking.

In 2002, the Council of the European Union took a major further step in the fight against human trafficking, reaching agreement on a broad new framework decision.

³³ Trafficking in Persons Report, 2003, p. 17.

³⁴ Trafficking in Persons Report, 2003, p. 170.

³⁵ Fact Sheet: US-EU Initiative to Prevent Trafficking in Women. USIS Washington File, December 5, 1997.

The decision sought to strengthen police and judicial cooperation and to harmonize the laws and policies of member states in areas such as criminalization, penalties, sanctions, aggravating circumstances, jurisdiction, and extradition. The deadline for implementation of the decision by Member States was set for August 1, 2002.³⁶

At the OSCE Summit Meeting in Istanbul in November 1999, leaders of the 55 OSCE member states from Europe, Central Asia, and North America, agreed to make combating trafficking in the OSCE area (where some 200,000 people are trafficked annually) a priority issue. A follow up meeting on trafficking was held in Vienna on June 19, 2000. The participating states agreed on steps to increase their efforts and better coordinate actions to fight the problem. The OSCE sponsored conference in Bangkok in June 2002 to deal with the trafficking issues. Speaking at the conference, Helga Konrad who heads the OSCE task force on human trafficking said that the approach taken to date to fighting trafficking has failed. She argued that closer collaboration between source and destination countries was vital.³⁷

The international community began meeting in 1999 to draft a Protocol to Prevent, Suppress, and Punish Trafficking in Persons, especially Women and Children in conjunction with the U.N. Convention Against Transnational Organized Crime. The United States, along with Argentina, introduced the draft protocol in January 1999. Negotiations were concluded in 2000 on a revised draft. On November 15, 2000, the U.N. General Assembly adopted the Convention on Transnational Crime, including the Protocol on Trafficking. The Convention and Protocols formally signed in Palermo, Italy, in December 2000, were designed to enable countries to work together more closely against criminals engaged in cross-border crimes. The United States signed the U.N. protocol on Trafficking in December 2000, but has not yet ratified it.

The United States is party to two other international agreements that have been adopted to address aspects of trafficking in children. The International Labor Organization (ILO) Convention 182 concerning the Prohibition and Immediate Action for the Elimination of the Worst Forms of Child Labor was ratified by United States in December 1999.³⁸ The Protocol to the Convention on the Rights of the Child on Sale of Children, Child Prostitution and Child Pornography was signed by the United States July 2000 and ratified in December 2002. In January, 2002, the Protocol went into force, having been signed by 88 countries and ratified by 16.³⁹

The Organization of American States (OAS) has also placed the issue of trafficking on its agenda. In November 2002, its Inter-American Commission on Women is scheduled to meet in Washington to discuss trafficking in the Americas.

³⁶ [<http://europa.eu.int/>]

³⁷ Agence France-Presse, June 20, 2002.

³⁸ See [<http://www.ilo.org/public/english/standards/ipecc/about/factsheet/facts23.htm>].

³⁹ See [<http://www.unicef.org/crc/crc.htm>].

Congressional Action

The 106th Congress took several legislative initiatives on the issue of trafficking in persons for sexual and other exploitation. The focus of bills paralleled the Clinton Administration’s framework of “prevention, protection, and prosecution. However, some of the congressional initiatives went beyond Clinton Administration recommendations in several areas, especially in calling for actions against governments that tolerate trafficking. Several bills on trafficking were introduced in the House and Senate in 1999 and 2000.⁴⁰ **H.R. 3244**, was introduced by Rep. Christopher Smith (R-NJ) on November 8, 1999. A similar bill was sponsored in the Senate by Sam Brownback (R-KS). In conference, the bill was combined with the Violence against Women Act of 2000 and repackaged as the **Victims of Trafficking and Violence Protection Act of 2000**, along with miscellaneous anti-crime and anti-terrorism provisions. President Clinton signed the bill into law on October 28, 2000 (**P.L. 106-386**).

Among its key provisions, **P.L. 106-386**:

- Directed the Secretary of State to provide an annual report by June 1, listing countries that do and do not comply with minimum standards for the elimination of trafficking and to provide in his annual report on human rights information on a country-by-country basis describing the nature and extent of severe forms of trafficking in persons in each country and an assessment of the efforts by governments to combat trafficking;
- Called for establishing an Interagency Task Force to Monitor and Combat Trafficking, chaired by the Secretary of State, and authorized the Secretary to establish within the Department of State an Office to Monitor and Combat Trafficking to assist the Task Force;
- Called for measures to enhance economic opportunity for potential victims of trafficking as a method to deter trafficking, to increase public awareness, particularly among potential victims, of the dangers of trafficking and the protections that are available for

⁴⁰ On March 11, 1999, **S. 600** was introduced by Senator Paul Wellstone (D-Minn.) and referred to the Committee on Foreign Relations. An identical bill, **H.R. 1238**, was introduced in the House by Representative Louise Slaughter (D-N.Y.) The bills were entitled the International Trafficking of Women and Children Victim Protection Act of 1999. Subsequently, **H.R. 1356** was introduced in the House by Representative Christopher Smith (R-N.J.) on March 25, 1999 and referred to several Committees. It was titled the Freedom From Sexual Trafficking Act of 1999.

On October 27, 1999, Rep. Sam Gejdenson (D-CT) introduced **H.R. 3154**, the “Comprehensive Anti-Trafficking in Persons Act of 1999.” Senator Paul D. Wellstone introduced an identical bill in the **Senate, S. 1842**. These bills had the support of the Clinton Administration as being in line with its own approach.

victims, and for the government to work with NGOs to combat trafficking;

- Established programs and initiatives in foreign countries to assist in the safe integration, reintegration, or resettlement of victims of trafficking and their children, as well as programs to provide assistance to victims of severe forms of trafficking in persons within the United States, without regard to such victims' immigration status and to make such victims eligible, without regard to their immigration status, for any benefits that are otherwise available under the Crime Victims Fund;
- Provided protection and assistance for victims of severe forms of trafficking while in the United States;
- Amended the code to make funds derived from the sale of assets seized from and forfeited by trafficking available for victims assistance programs under this Act;
- Amended the Immigration and Nationality Act to allow the Attorney General to grant up to 5000 non-immigrant visas per year to certain victims of severe forms of trafficking who are in the United States and who would face a significant possibility of retribution or other harm if they were removed from the United States. In addition, amended the Act to adjust to lawful permanent resident the status of up to 5000 victims per year who have been in the United States continuously for three years since admission, who have remained of good moral character, who have not unreasonably refused to assist in trafficking investigations or prosecutions, and who would face a significant possibility of retribution or other harm if removed from the United States;
- Established minimum standards applicable to countries that have a significant trafficking problem. Urged such countries to prohibit severe forms of trafficking in persons, to punish such acts, and to make serious and sustained efforts to eliminate such trafficking;
- Provided for assistance to foreign countries for programs and activities designed to meet the minimum international standards for the elimination of trafficking;
- Withheld U.S. non-humanitarian assistance and instructed the U.S. executive director of each multilateral development bank and the International Monetary Fund to vote against non-humanitarian assistance to such countries that do not meet minimum standards against trafficking and are not making efforts to meet minimum standards, unless continued assistance is deemed to be in the U.S. national interest;

- Encouraged the President to compile and publish a list of foreign persons who play a significant role in a severe form of trafficking in persons. Also encouraged the President to impose sanctions under the International Emergency Economic Powers Act, including the freezing of assets located in the United States, and to exclude significant traffickers, and those who knowingly assist them, from entry into the United States; and
- Amended the U.S. Code to double the current maximum penalties for peonage, enticement into slavery, and sale into involuntary servitude from 10 years to 20 years imprisonment and to add the possibility of life imprisonment for such violations resulting in death or involving kidnaping, aggravated sexual abuse, or an attempt to kill.

The Victims of Trafficking and Violence Protection Act of 2000 was amended in 2002 by **Sec. 682 of the Foreign Relations Authorization Act, FY2003 (P.L. 107-228)** to provide “...(a) support for local in-country nongovernmental organization-operated hotlines, culturally and linguistically appropriate protective shelters, and regional and international nongovernmental organization networks and databases on trafficking, including support to assist nongovernmental organizations in establishing service centers and systems that are mobile and extend beyond large cities; (b) support for nongovernmental organizations and advocates to provide legal, social, and other services and assistance to trafficked individuals, particularly those individuals in detention; (c) education and training for trafficked women and girls; (d) the safe integration or reintegration of trafficked individuals into an appropriate community or family, with full respect for the wishes, dignity, and safety of the trafficked individual; and (e) support for developing or increasing programs to assist families of victims in locating, repatriating, and treating their trafficked family members, in assisting the voluntary repatriation of these family members or their integration or resettlement into appropriate communities, and in providing them with treatment.” The amendment also authorized an increase in appropriations for FY2003 to fund such programs.

In the 107th Congress, the **Foreign Operations, Export Financing, and Related Programs Appropriations Act of 2002** (P.L. 107-115; H.R. 2506). included at least \$30 million to fight trafficking and assist victims drawn from six different accounts. Under SEC. 584, Funding for Trafficking Victims Protection Act of 2000, the House directed that of the amounts made available for development assistance, the Economic Support Fund, assistance for Eastern Europe and the Baltic states, assistance for the Independent States of the Former Soviet Union, International Narcotics control and law enforcement, and Migration and refugee assistance: (1) \$10,000,000 for prevention of trafficking in persons, as authorized by section 106 of the Trafficking Victims Protection Act of 2000 (division A of P.L. 106-386); (2) \$10,000,000 for the protection and assistance for victims of trafficking of persons, as authorized by section 107(a) of such Act; and \$10,000,000 to assist foreign countries to meet minimum standards for the elimination of trafficking, as authorized by section 134 of the Foreign Assistance Act of 1961.

On April 17, 2002, **H.R. 4477, The Sex Tourism Prohibition Improvement Act** was introduced in the House by Rep. James F Sensenbrenner (R-WI). The bill aims to curb “sex tourism” by Americans to foreign countries for purpose of engaging in sex acts, especially with minors, that would be illegal in the United States. The bill was passed by the House on June 26, 2002 and sent to the Senate but was not enacted.

On February 13, 2003, the 108th Congress passed the **Consolidated Appropriations Resolution for FY2003** (H.J.Res.2). It was signed into law by the President on February 20, 2003 (P.L. 108-7). The omnibus resolution included at least \$32 million for domestic and international efforts to combat human trafficking and to help victims of trafficking.

Policy Issues

A broad consensus seems to be shared in Congress and the policy community on the need for decisive action to curb trafficking. And the general framework of “prevention, protection, and prosecution” also has widespread support. Questions have been raised about implementation.

How will the war on terrorism and the emphasis on homeland security affect the efforts to combat human trafficking? Since the terrorist attacks on the United States on September 11, 2001, there has been concern among those advocating strong policies to counter human trafficking that momentum might be lost in that battle. The concern diminished in early 2002 when both the Administration and Congress were seen as renewing their attention to the trafficking problem. However, there is still some question about whether the emphasis on homeland security and the war on terrorism might sap financial, law enforcement, and judicial resources from other efforts, including the campaign against human trafficking. Some observers also wonder if the U.S. need for support in the war on terrorism from certain governments will make it more difficult to pressure those governments if their anti-trafficking efforts are inadequate.

Should sanctions against foreign governments be used as a policy instrument to combat trafficking? Most agree that extensive international cooperation will be needed to stop international trafficking and that both “carrots” and “sticks” may be needed to encourage other governments, including assisting governments in their efforts to curb trafficking. The Clinton Administration argued against sanctions language in P.L. 106-386 as unnecessary and counterproductive since very few, if any, governments favor or support trafficking. Instead, it was argued, the focus should be on cooperation. In the Bush Administration, Secretary of State Powell has expressed concern in general that sanctions are an overused policy tool. On the other side, some see the sanctions language contained in the anti-trafficking legislation as being too weak since sanctions can be easily waived and a broad range of programs are exempted. The Administration must make a determination by October 1, 2003, about whether to impose sanctions against certain governments. The Tier 3 list of non-cooperating countries includes a number of key U.S. allies and front-line states in the war on terrorism, as well as some of the largest recipients of U.S. assistance.

How will the Administration decide against which Tier Three countries to impose sanctions?

Who is eligible for protection as a victim of trafficking? Are the standards of eligibility for benefits as a victim of trafficking the right ones? At present, protection is limited to victims of “severe forms of trafficking”⁴¹ and victims must prove that they are in the United States as a direct result of trafficking and that they have a well-founded fear of retribution if they are returned to their country of origin. They must be willing and needed to help identify and prosecute their traffickers. Some critics argue that the standards are too high to help many deserving victims. Critics also argue that the line between pure victims and those who have a degree of complicity in being brought to the United States may be difficult to draw. Such distinctions, they argue, will leave some victims unprotected. P.L. 106-386 gives the executive branch some discretion in determining who qualifies.

More broadly, differing perspectives on what constitutes trafficking could make international cooperation more difficult. In the United States, some politicians, religious groups, as well as feminist and other organizations, have campaigned to broaden the definition of trafficking to include all prostitution, whether forced or voluntary, with the prostitutes are always victims, and that traffickers will simply force their victims to claim to be acting voluntarily. However, a number of countries including some western democracies with otherwise strong human rights records have legal and regulated prostitution, believing that the “world’s oldest profession” cannot be stamped out and that a carefully regulated sex industry is the best protection for those involved.

Are the links between human trafficking and HIV/AIDS receiving adequate attention? Trafficking victims in the sex industry are exposed to sexually-transmitted diseases, including HIV/AIDS, at much higher rates than the general population. Very often they have no access to medical care. In addition, the fear of infection with HIV/AIDS among customers has driven traffickers to recruit younger women and girls, erroneously perceived by customers to be too young to have been infected. Some question whether existing legislation, policies, and programs address these issues.

⁴¹ Severe forms of trafficking is defined in Section 3 of the bill as “sex trafficking in which either a commercial sex act or any act or event contributing to such act is effected or induced by force, coercion, fraud or deception or in which the person induced to perform such acts has not attained the age of 18,” as well as “the purchase, sale, recruitment or harboring, transportation, transfer or receipt for the purpose of subjection to involuntary servitude....effected by force, coercion, fraud, or deception.”

Table1. Authorizations, Appropriations, and Administration Allocations to Implement the Victims of Trafficking Act, P.L. 106-386, Part A

| P.L. 106-386:A- Authorizations for FY2001-FY2003 | FY2001 Appropriation/ Admin. Allocation | FY2002 Appropriation/ Admin. Allocation | FY2003 Appropriation | | | | | | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|----------------------------------------------------|---------------------------------|-------------|--------------|----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>(a) To Support Interagency Task Force in carrying out: Sec. 104. Addition of Trafficking to State Dept. Human Rights Report. Sec. 105. Establishment of an Interagency Task force and State Dept. Office to Monitor and Combat Trafficking. Sec. 110. Preparation of annual report and country list regarding severe forms of trafficking.</p> <table border="0" data-bbox="184 695 758 756"> <tr> <td style="text-align: center;">For FY2001</td> <td style="text-align: center;">For FY2002</td> <td style="text-align: center;">For FY2003</td> </tr> <tr> <td style="text-align: center;">\$1,500,000</td> <td style="text-align: center;">\$3,000,000</td> <td style="text-align: center;">\$3,000,000</td> </tr> </table> | For FY2001 | For FY2002 | For FY2003 | \$1,500,000 | \$3,000,000 | \$3,000,000 | <p><i>Appropriation:</i> No earmark or mention in Statement of Managers</p> <p><i>Admin. Allocation:</i> Small amount to prepare human rights report.</p> | <p><i>Appropriation:</i> No earmark or mention in Statement of Managers</p> <p><i>Admin. Allocation:</i> \$3,515,000 estimate within State Department's Diplomatic & Consular Programs.^a</p> | <p><i>Appropriation:</i> No earmark or mention in Statement of Managers</p> <p><i>Admin. Request:</i> \$3,592,000 within State Department's Diplomatic & Consular Programs.^a</p> |
| For FY2001 | For FY2002 | For FY2003 | | | | | | | |
| \$1,500,000 | \$3,000,000 | \$3,000,000 | | | | | | | |
| <p>(b) To HHS for purposes of Sec.107 (b). Assistance to victims of trafficking in the U.S.</p> <table border="0" data-bbox="184 873 852 935"> <tr> <td style="text-align: center;">For FY2001</td> <td style="text-align: center;">For FY2002</td> <td style="text-align: center;">For FY2003</td> </tr> <tr> <td style="text-align: center;">\$5,000,000</td> <td style="text-align: center;">\$10,000,000</td> <td style="text-align: center;">No new authorization</td> </tr> </table> | For FY2001 | For FY2002 | For FY2003 | \$5,000,000 | \$10,000,000 | No new authorization | <p><i>Appropriation:</i> Refugee and Entrant Assistance: Up to \$5,000,000</p> <p><i>Admin. Allocation:</i> \$5,000,000^b</p> | <p><i>Appropriation:</i> No earmark or mention in Statement of Managers</p> <p><i>Admin. Allocation:</i> \$10,000,000^b</p> | <p><i>Appropriation:</i> \$10,000,000 Refugee and Entrant Assistance</p> |
| For FY2001 | For FY2002 | For FY2003 | | | | | | | |
| \$5,000,000 | \$10,000,000 | No new authorization | | | | | | | |

| <p>P.L. 106-386:A- Authorizations for FY2001-FY2003</p> | <p>FY2001 Appropriation/ Admin. Allocation</p> | <p>FY2002 Appropriation/ Admin. Allocation</p> | <p>FY2003 Appropriation</p> |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>(c) To Secretary of State for State Dept. and USAID to carry out: Sec.107(a) Assistance for Trafficking victims in other countries.</p> <p style="text-align: center;"> For FY2001 For FY2002 For FY2003 \$5,000,000 \$10,000,000 \$15,000,000 </p> <p>For voluntary contribution to OSCE for projects related to trafficking:</p> <p style="text-align: center;"> For FY2001 For FY2002 For FY2003 \$300,000 \$300,000 \$300,000 </p> <p>For preparation of additional trafficking information in State Department Human Rights Report</p> <p style="text-align: center;"> For FY2001 For FY2002 For FY2003 Such sums as needed Such sums as needed Such sums as needed </p> | <p><i>Appropriation:</i> No earmark or mention in Statement of Managers</p> <p><i>Admin. Allocation:</i> \$3,250,000^c</p> <p><i>Appropriation:</i> No earmark or mention in Statement of Managers.</p> <p><i>Admin. Allocation:</i> \$150,000 from State’s Bureau for European Affairs and \$100,000 from State’s Bureau for Democracy, Human Rights, and Labor for OSCE projects.^a</p> <p><i>Appropriation:</i> No earmark or mention in Statement of Managers.</p> <p><i>Admin. Allocation:</i> Nothing identified</p> | <p><i>Appropriation:</i> Not less than \$10,000,000 should be available. Foreign Operations State Intl Narcotics & Law Enforcement</p> <p><i>Admin. Allocation:</i> \$7,670,000^c</p> <p>NA</p> <p>NA</p> <p><i>Appropriation:</i> No earmark or mention in Statement of Managers.</p> <p><i>Admin. Allocation:</i> Nothing identified.</p> | <p><i>Appropriation:</i> \$10,000,000 Foreign Operations State Intl Narcotics & Law Enforcement (INL)</p> <p>NA</p> <p>NA</p> <p><i>Appropriation:</i> No earmark or mention in Statement of Managers.</p> <p><i>Admin. Request:</i> Nothing identified.</p> |

| <p>P.L. 106-386:A- Authorizations for FY2001-FY2003</p> | <p>FY2001 Appropriation/ Admin. Allocation</p> | <p>FY2002 Appropriation/ Admin. Allocation</p> | <p>FY2003 Appropriation</p> | | | | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|-----------------------------------------------------------|----------------------------------------|-------------|--------------|----------------------|---------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| <p>(d) To Department of Justice to carry out Sec.107 (b) Grants to states, localities for protection of victims.</p> <table data-bbox="163 461 861 521"> <tr> <td>For FY2001</td> <td>For FY2002</td> <td>For FY2003</td> </tr> <tr> <td>\$5,000,000</td> <td>\$10,000,000</td> <td>No new authorization</td> </tr> </table> | For FY2001 | For FY2002 | For FY2003 | \$5,000,000 | \$10,000,000 | No new authorization | <p><i>Appropriation:</i> No earmark or mention in Statement of Managers.</p> <p><i>Admin. Allocation:</i> \$0^d</p> | <p><i>Appropriation:</i> \$10,000,000 State and Local Law Enforcement Assistance</p> <p><i>Admin. Allocation:</i> State and Local Law Enforcement Assistance, \$10,000,000^d</p> <p>DOJ Civil Rights Division, \$770,000^b</p> | <p><i>Appropriation:</i> \$10,000,000 State and Local Law Enforcement Assistance</p> |
| For FY2001 | For FY2002 | For FY2003 | | | | | | | |
| \$5,000,000 | \$10,000,000 | No new authorization | | | | | | | |

| P.L. 106-386:A- Authorizations for FY2001-FY2003 | FY2001 Appropriation/ Admin. Allocation | FY2002 Appropriation/ Admin. Allocation | FY2003 Appropriation |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|
| <p>(e) (1) To President to carry out Sec.106, foreign victim aid, including</p> <ul style="list-style-type: none"> — Enhancing economic opportunities for potential victims — Increasing public awareness and information — Consultation and working with NGOs <p style="text-align: center;"> For FY2001 For FY2002 FY2003 \$5,000,000 \$10,000,000 \$15,000,000 </p> | <p><i>Appropriation:</i> USAID directed to provide: Not less than \$2,500,000, of which not less than \$1,500,000 should be available from the Assistance to the Independent States of the Former Soviet Union account.</p> <p><i>Admin. Allocation:</i> \$6,098,000, of which \$3,668,000 from the Development Assistance Account, \$50,000 from the East European aid account, and \$2,380,000 from the Assistance to the Independent States of the Former Soviet Union account.</p> | <p><i>Appropriation:</i> “Urge” State Dept. and USAID to provide \$10,000,000, of which not less than \$1,500,000 should be available from the Assistance to the Independent States of the Former Soviet Union account.</p> <p><i>Admin. Allocation:</i> \$10,000,000, of which \$7,000,000 from the Development Assistance account; \$1,500,000 from the East European aid account; and \$1,500,000 from the Assistance to the Independent States of the Former Soviet Union account.</p> | <p><i>Appropriation</i> \$1,500,000 Foreign Operations Assistance to the Independent States of the Former Soviet Union account.</p> |

| <p>P.L. 106-386:A- Authorizations for FY2001-FY2003</p> | <p>FY2001 Appropriation/ Admin. Allocation</p> | <p>FY2002 Appropriation/ Admin. Allocation</p> | <p>FY2003 Appropriation</p> | | | | | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|-----------------------------------------------------------|----------------------------------------|-------------|--------------|--------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>(e) (2) To President to carry out Sec.109(2), assistance to governments, NGOs, multilateral organizations to help countries to meet minimum standards:</p> <ul style="list-style-type: none"> — law drafting assistance — investigation and prosecution — For facilities, programs, projects, exchanges <table style="width: 100%; border: none;"> <tr> <td style="text-align: center;">For FY2001</td> <td style="text-align: center;">For FY2002</td> <td style="text-align: center;">For FY2003</td> </tr> <tr> <td style="text-align: center;">\$5,000,000</td> <td style="text-align: center;">\$10,000,000</td> <td style="text-align: center;">\$15,000,000</td> </tr> </table> | For FY2001 | For FY2002 | For FY2003 | \$5,000,000 | \$10,000,000 | \$15,000,000 | <p><i>Appropriation:</i> No earmark or mention in Statement of Managers.</p> <p><i>Admin. Allocation:</i> Information not available from the State Department</p> | <p><i>Appropriation:</i> \$1,800,000 State Dept. Diplomatic and Consular Programs: for an intl conference on human rights and trafficking and “encourages” up to \$200,000 for the Globalization Research Center Conference</p> <p>FBI: unspecified amount to continue Southeast European Cooperative Initiative</p> <p>INS: \$5,500,000 for the Eastern Adjudication Service Center to process immigration self-petitions and U and T visas.</p> <p><i>Admin. Allocation:</i> Dept. of Labor: \$1,400,000 to the Intl Research & Exchange Board (NGO) for a 2-year program in Eastern Europe.^e Other Information not available from the State Department.</p> | <p><i>Appropriation:</i> \$500,000 State Dept. Diplomatic and Consular Programs: for organizations in the War Against Trafficking Alliance to hold an intl conference on trafficking</p> |
| For FY2001 | For FY2002 | For FY2003 | | | | | | | |
| \$5,000,000 | \$10,000,000 | \$15,000,000 | | | | | | | |

| P.L. 106-386:A- Authorizations for FY2001-FY2003 | FY2001 Appropriation/ Admin. Allocation | FY2002 Appropriation/ Admin. Allocation | FY2003 Appropriation |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|
| <p>(f) To Department of Labor to carry out Sec.107 (b), assistance to trafficking victims in U.S.</p> <p>— Employment assistance and benefits</p> <p>— Contribution to HHS Annual Report</p> <p>For FY2001 \$5,000,000</p> <p>For FY2002 \$10,000,000</p> <p>For FY2003 No new authorization</p> | <p><i>Appropriation:</i> No earmark or mention in Statement of Managers.</p> <p><i>Admin. Allocation:</i> Information not available from the Labor Department</p> | <p><i>Appropriation:</i> No earmark or mention in Statement of Managers.</p> <p><i>Admin. Allocation:</i> Information not available from the Labor Department</p> | <p><i>Appropriation:</i> No earmark or mention in Statement of Managers.</p> |

Note: As amended by Sec. 682 of the Foreign Relations Authorization Act for FY2003 (P.L.107-228).

Sources:

- a. State Department Office to Monitor and Combat Trafficking.
- b. HHS Budget Justification, FY2003.
- c. State Department, International Affairs Budget Summary and Highlights. FY2003, February 2002, p. 64.
- d. Discussion with Department of Justice Official, April 9, 2002.
- e. State Department Fact Sheet, U.S. Activities to Combat Trafficking in Persons, February 14, 2002.